

Visvesvaraya PhD Scheme for Electronics & IT- Phase II

1. Background

MEITY has approved implementation of Phase-II of Visvesvaraya PhD Scheme for Electronics & IT with the objective of enhancing the number of PhDs in the Electronic System Design and Manufacturing (ESDM) and IT/IT Enabled Services (ITES).

Phase-I of the Scheme, was implemented in 25 states and 4 Union Territories. It has been decided to continue the scheme for Phase-II to help increasing the number of PhDs in the country to enable India to compete globally in the coming decades, to develop an ecosystem of research, development and IP creation in these knowledge intensive sectors.

2. Salient Features of Phase-II

- 2.1** The scheme aims at generating a total of 1000 Full-time PhD Candidates (@200 Candidates per year) and 150 Part-time PhDs (@30 Candidates per year) in emerging research areas of ESDM and IT/ITES sectors over a period of 5 years.
- 2.2** The scheme will also identify and support 50 Young Faculty Research Fellowships (@10 awards/year) to recognize and encourage young faculty involved in research and technology development in the areas of ESDM and IT/ITES.
- 2.3** The scheme would support 225 Post-Doctoral Fellowships for candidates @ 25 seats per year for a period of support of one year to encourage specialized research in emerging research areas under ESDM and IT/ITES sectors. The support has been provisioned for a total of 9 years.
- 2.4** The scheme will have a provision for one time support to 250 Full time PhD fellows for 6 months to facilitate visits to labs abroad, to broaden their research perspective and be in-sync with the state of art research being carried out throughout the globe.

3. Institutions Eligible for Support

- 3.1** All Indian Institutes of Technology (IITs), National Institutes of Technology (NITs), Indian institute of Science (IISc), all Indian Institutes of Science Education and Research (IISERs), Central Universities, Deemed Universities under Central Government, Colleges, and Institutions of national importance will be eligible for support under the scheme.
- 3.2** A University created under Provincial Act, State Act, State Universities, Private Universities, Private Deemed Universities, "Colleges that are allowed to offer PhD", and other academic, R&D institutions with the following eligibility criteria:
 - The Institute should have existing post graduate stream in Electronics/IT and have produced PhDs in these areas for the last 3 years. The PhD student(s) under this scheme to be admitted as per UGC admission norms and
 - The Institute should be recognized by AICTE & NBA (National Board of Accreditation) accredited with respect to Electronics/IT Programmes or The Institute should be accredited by NAAC (National Assessment and Accreditation Council of UGC).
- 3.3** The minimum requirement for eligibility under the scheme will be as per the norms specified by UGC. This will comply to "UGC's Minimum Standards & Procedures for award of M. Phil/Ph.D. Degree, Regulation, 2009" (ref. The Gazette of India July 11, 2009 Part III Sec 4) as amended time to time.

4. Full-time PhD candidates (1000 candidates @200 candidates/year):

4.1 Financial Support for Full-time PhD candidates:

- 4.1.1 Fellowship:** Rs. 38,750 per month in 1st& 2nd year and @Rs. 43,750 per month in 3rd,4th and 5th years of PhD. (support till PhD completion or 5 years whichever is earlier).
- 4.1.2 Reimbursement of Rent (RoR):** This component is linked with the fellowship of PhD Candidate. The rate of RoR is 24%, 16% & 8% (of fellowship) for X, Y & Z class cities/towns respectively. The classification of the cities is as per the notification issued by the Ministry of Finance for the reimbursement of HRA.
- 4.1.3 Institutional Overheads:** An amount of Rs. 25,000/Year/Full-time PhD candidate for support duration of PhD candidate to be given to the institution. The institutional overheads will be released after completion of required tenure by the respective candidate on pro-rata basis.
- 4.1.4 Research Contingency Grant Support:** An amount of Rs. 1,20,000/Year/Full-time PhD candidate for support duration of PhD candidate as per following guidelines:
- Out of Rs. 1.20 Lakh/ year, upto Rs. 30,000/- may be availed by the institute for respective PhD Candidate's miscellaneous expenses relevant to research work- e.g. Books / Documents, Equipment / Software, Consumables / Chemical / Electronic components, Prints of research papers, reports etc., Registration fee for attending Symposia/ Seminars / Conferences in India / abroad where the candidate is presenting an accepted paper, Similar items etc.
 - Proposal for Rs. 90,000/- or more upto Rs. 1.20 Lakh (the remaining amount after miscellaneous exp.) for lab equipment (excluding civil construction/expansion of the building) should be submitted to PhD Cell, DIC. It should be signed & stamped by the supervisor, nodal & head of the institute.
 - The amount of Rs. 1.20 Lakh under Research Contingency Grant support would be available to the institute only after completion of tenure of one year for a particular candidate and like-wise on pro-rata basis.
 - The proposal should clearly specify the utility & role of each of the proposed equipment in research of respective PhD candidate(s). The proposal would cover the item wise cost along with total budget and minimum amount required to place purchase order and amount required at the time of delivery of equipment to the institute.
 - The eligibility/unspent balance of a particular year for each Full-time PhD candidate may be carried forward to the next year, till the candidate is supported under the scheme (5 years/PhD completion etc.).
- 4.1.5 Support for attending International Conference:** The support would be available from 3rd year of PhD with following guidelines:
- The support would cover the travel and other expenses of PhD candidates for attending International conferences, where his/her research paper has been accepted for the presentation by him/her
 - Paper presentation should be oral (not poster) in an International conference falling in approved list under Visvesvaraya PhD Scheme (subject to revision/review by Academic Committee periodically). The approved list is uploaded on PhD Scheme portal [ListOfInternationalConferences.pdf](#)
 - A paper of expository nature (e.g. a review paper) will not be considered
 - Grant will not be used to attend winter or summer schools.
 - The application with invitation letter of the conference to be submitted to the PhD-Cell through the institution.

- f) The grant will be provided to the institution (not to the applicant) for checks & balances and submission of UC

4.1.6 Visit to Labs abroad: The support would be available to the selected Full Time PhD candidates with following guidelines:

- a) Visvesvaraya PhD Scheme has a provision of one-time support for all the enrolled Full Time scholars, from 3rd year, for 6 months 'Visit to Labs Abroad'.
- b) During the visit, the Monthly Fellowship will be Rs. 1.50 Lakh. Besides this, the Travel/Visa expenses up to Rs. 1.50 Lakh is provided. During this period, the domestic Fellowship and Reimbursement of Rent (RoR) will be suspended.
- c) The candidate should complete the visit at least 3 months before either the completion of PhD or the Fellowship support period of 5 years.
- d) The application with invitation letter is to be submitted to the PhD Cell through the institution.
- e) The funds for visit abroad will be provided to the institution.
- f) Upon completion of the visit and returning to the institution, the candidate must submit a detailed technical report about the work done /accomplishment along with publications resulting from the visit, if any, to the PhD Cell. The report should be signed by the guide and endorsed by the head of the institution.
- g) The applicant would be required to submit the following documents to PhD Cell for availing the support:
 - A valid offer letter from a lab abroad
 - Recommendation letter from the guide of the applicant
 - A copy of valid passport at the time of submission of application
 - Details of publications covering the bibliographical details arising from the research work of the applicant.
 - Copies of the publications for the research undertaken under the scheme.
 - The proposal will be examined by the Academic Committee with a physical interaction with the PhD candidate for the final recommendations.
- h) PhD Cell, DIC; MeitY reserves the right to hold/ stop/ terminate the support for this component at any stage, including but not limited to:
 - Appropriate progress is not being made.
 - The grant is not being utilized properly.
 - Violation/ Non-compliance of guidelines.
 - Any other reason, which is deemed appropriate by PhD Cell, DIC, MeitY

4.2 Terms and Conditions for support of Full Time PhD Candidates

4.2.1 The support will be for additional PhD candidates taken up by an institution. No support would be provided for current levels of enrolment in the PhD programme at the institutions. Transfer from other scholarship schemes would not be permitted.

4.2.2 Only the candidates enrolled/registered for PhD during the period of the scheme would be eligible to apply for the financial support. This scheme will be applicable for new PhD Scholars after notification of the scheme.

4.2.3 The candidate would be eligible for Fellowship for the period during which the candidate is in good standing, and fulfills the requirements of the PhD successfully. The maximum period for which the support would be available for any Full-time candidate would be five years or till the completion of PhD whichever is earlier.

4.2.4 The participating Institution will ensure that a candidate receiving fellowship under this scheme is not receiving any financial support for PhD from any other scheme of Government of India/States etc. at the same time, The candidates who have availed support for their PhD from any other scheme of

Government of India/States etc. will be automatically be disqualified for support under this scheme.

4.2.5 The PhD seats allotted for a particular year should be utilized in the same academic year only. The unfilled seats would be automatically pulled back to the general pool of the scheme.

4.2.6 The institutions, in the event of any drop-out, need to report it to PhD Cell/DIC immediately. The institutions cannot enroll a substitute candidate on their own. Only the cases which are found extraordinary would be considered for a substitute candidate's enrollment. For this consideration, the institution would require to submit adequate justification beyond the administrative checks and formalities. Any substitute enrolled by the institution without prior permission and due approval of PhD Cell, DIC would not be considered for support under the scheme. Once the institution has recommended the candidate for award of fellowship and the same has been approved under the scheme by the competent authority the fellowship amount and eligible "Reimbursement of Rent" amount will be transferred directly to the bank accounts of the PhD candidate through DBT. The institution will submit annual performance report for each candidate enrolled under the scheme. In case of non-performance of any candidate, the institution shall intimate PhD Cell, DIC regarding the same. In case no such intimation is received, PhD Cell, DIC will continue to transfer the fellowship directly to the bank accounts of PhD candidates.

4.2.7 The items of expenditure eligible to be released to the institution like Institutional Overheads, Research Contingency Grant shall be released directly to the institution.

4.2.8 The amount to be released under "Support for attending International Conference" and "Visit to labs abroad" will be released as per the requirement on a case to case basis.

4.2.9 The institution will be responsible for furnishing utilization certificates of the funds released to them based on the scheme parameters, and as per conditions of the sanctioned grant-in-aid.

5. Part-time PhD candidates(150 candidates): Fellowship @Rs. 3 Lakh on PhD Completion

5.1 Part-time PhD seats is provisioned for professionals working at a reputed place/organization

5.2 The selected candidates should not be availing any PhD Fellowship/scholarship from any other scheme of Central or State Government.

5.3 The seats would be allotted to the institutions based on the assessment of the proposals of the institute, research strength, and the working places of the prospective applicants.

5.4 Past performance of the institutions in implementation of the scheme will also be considered while allocating the seats (wherein PhD Cell, DIC would provide the recommendation)

5.5 Part-time candidates who do not avail of any PhD Fellowship/scholarship/stipend from any Central or State Government shall be provided a one-time incentive of Rs. 3 Lakh on successful completion of the PhD degree.

5.6 Further guidelines if any will be provided subsequently.

6. Young Faculty Research Fellowship (50 awards @10 awards/year):

6.1 Research Fellowship @ Rs. 20,000/Month, in addition to regular income/salary of the awardee.

6.2 Research Contingency Grant of Rs. 5 Lakh/Year

6.3 The applicant should be an Indian citizen.

6.4 The applicant must have obtained PhD degree from a recognized University with first class (in terms of grades, etc.) in all preceding levels and a good academic record throughout.

6.5 The applicant must have a regular position in the institute and should be engaged in research.

6.6 The upper age limit for the fellowship is 40 years on the date of submission of application.

6.7 YFRF under Visvesvaraya PhD Scheme can be availed only once by a candidate in his/her career.

- 6.8** Proposals for allotment of YFRF are to be submitted through eligible institutions only
- 6.9** Each institution in the proposal may submit up to three nominations for the award for each round of call for applications. The applicant must be an Indian citizen and possess a PhD degree in a relevant area.
- 6.10** The fellowship is tenable only in India and can be implemented in any of the recognized & eligible academic and R&D institution.
- 6.11** The fellowship is purely a temporary assignment, and is tenable for a maximum period of 05 years. The award will initially be for 2 years and further extendible, based on performance review.
- 6.12** Research Contingency Grant can be used for minor equipment, consumables and domestic travel. The host institution should provide necessary administrative and infrastructural support for YFRF.
- 6.13** The fellows are not eligible to receive any other fellowship from any Government or Non Government source during the tenure of the fellowship.
- 6.14** YFRFs are non-transferrable. The research work is to be carried out in the same eligible institution though which YFRF proposal was submitted.
- 6.15** Discontinuation of the Fellowship:
- The performance of YFRF would be reviewed periodically as per the discretion of PhD Cell, DIC; MeitY.
 - A detailed performance assessment/review of award would be conducted annually and decision on continuation of YFRF would be taken accordingly.
 - If any fellow wishes to discontinue the fellowship, the institution should inform Visvesvaraya PhD Scheme immediately. One month's notice is to be given to DIC through the institution before the date of discontinuation.
 - The implementing institution should not incur any expenditure from the date of termination of the project or the date of resignation of the fellow. The institution will ensure the submission of work report and other requisite documents from YFRF awardee.
 - PhD Cell, DIC; MeitY reserves the right to hold/ stop/ terminate the Fellowship at any stage because of reasons including, but not limited to the following:
 - a) Appropriate progress is not being made.
 - b) The grant is not being utilized properly.
 - c) Violation/ Non-compliance of guidelines.
 - d) Any other reason, which is deemed appropriate by PhD Cell, DIC, MeitY.

7. Post-Doctoral Fellowship (225 candidates' @25candidates/year):

7.1 Research Fellowship @ Rs. 1.08 Lakh/Month

- 7.2** Contingency- Rs. 1 Lakh for 1 year (on re-imburement and pro-rata basis depending on the period spent under the award). The claim would be submitted by the awardee through respective institution to PhD Cell in prescribed format.
- 7.3** Proposals for allotment of PDF seats are to be submitted by eligible institutions only. Suitable no. of seats would be allotted to institutions for further enrollment of PDF fellows on these seats following the scheme guidelines.
- 7.4** The PDF applicant should be an Indian citizen.
- 7.5** The PDF applicant must have obtained PhD degree from a recognized University with first class (in terms of grades, etc.) in all preceding levels and a good academic record throughout.
- 7.6** The eligible participating institution must ensure that the PDF applicant should have completed PhD within the past 5 years on the last date of submission of application to the institution.
- 7.7** The upper age limit for the fellowship is 40 years on the date of submission of application to the eligible participating institution.
- 7.8** A suitable Mentor/Guide under whom the proposed research would be carried out, must be identified by the institution.
- 7.9** Mentor/Guide of PDF fellow must hold a regular academic/research position in a recognized institution in India. He/she should hold a Ph.D. degree in Science or Engineering.
- 7.10** Preference would be given to the PDF applicants who have active industry linkages and their research proposal is directed towards industry specific problems.
- 7.11** The research proposal of the PDF applicant, to be submitted to the institution, should define clear objectives, outcomes and deliverables against the award.
- 7.12** PDF applicants currently in regular employment will not be considered. However, scientists or researchers with temporary positions in academia or research institutions would be considered however,

they would be required to relinquish their current roles if selected for the fellowship.

7.13 PDF applicants should not have completed their PhD at the same institution where the PDF fellowship is to be awarded.

7.14 Discontinuation of the Fellowship:

- If any fellow wishes to discontinue the fellowship, the institution should inform PhD Cell, DIC; MeitY immediately. One month's notice is to be given by the institution before the date of discontinuation.
- The implementing institution should not incur any expenditure from the date of termination of the award/project or the date of resignation of the fellow. The institution will be responsible for submission of work report and other requisite documents.
- In case the post-doctoral fellow undertakes any full/part-time assignment, his/her PDF award under the scheme would be discontinued.
- PhD Cell, DIC; MeitY reserves the right to hold/ stop/ discontinue the fellowship at any stage, if
 - a) Appropriate progress is not being made.
 - b) The grant is not being utilized properly.
 - c) Violation/ Non-compliance of guidelines.
 - d) Any other reason, which is deemed appropriate by PhD Cell, DIC, MeitY

8. Miscellaneous

8.1 Collaborations with internationally reputed academics and R&D Institutions will be encouraged.

8.2 IPR generated under the Scheme will be governed by existing rules and regulations of MeitY.

8.3 All institutions who will participate would be subjected to Audit of their accounts/records in respect of the scheme as per government norms.

8.4 Assets created under the scheme using the research grant to the participating Institutions would be used by Institutions for the research and development activities in these institutions after the end of the scheme.

8.5 MeitY may make additions/deletions/modifications in these guidelines at its own discretion.

8.6 Awarding support, its continuation, discontinuation etc. for component(s) of the scheme would be as per the sole discretion of MeitY and would be final and binding to all the applicants seeking support/beneficiaries.

SOP- Fund Release to Full Time PhD candidates and Institutions

S. No.	Components	SoP to be followed- for reference of the Institutions, PhD Candidates
1.	Monthly Fellowship for Full Time PhD (@Rs. 38750 for 1st& 2ndYear then Rs. 43750 for 3rd , 4th and 5th year)	<p>I. The institute based on administrative approval for PhD seat allotment would enrol the PhD Candidates on these seats following the guidelines of the scheme.</p> <p>II. The PhD Candidates would need to complete their profiles themselves on PhD scheme portal with due verification by the concerned department & nodal officer of the institute. The institute will forward the candidate's detail along with recommendations for their fellowship release. This would be followed by verification by PhD Cell, DIC with respect to compliance to the guidelines of the scheme.</p> <p>III. Subsequent to the due verification of the registered PhD candidates, Fellowship & RoR are transferred to the candidates' bank accounts regularly.</p> <p>IV. The institute would submit periodic performance report for each candidate. In case of non-performance, non-compliance with the scheme's guidelines, absence, leaves, etc. or other reasons, affecting the Fellowship amount or resulting into Fellowship stoppage of any candidate at any point of time, the institution shall intimate PhD Cell, DIC regarding the same immediately through email to PhD Cell. The institution needs to secure receipts of such intimation by PhD Cell, DIC well in advance as the fellowship would be released by DIC, as soon as possible, once the fellowship becomes due.</p> <p>V. In absence of such intimation, PhD Cell, DIC will continue to transfer the fellowship directly to the candidates every month of a financial year.</p> <p>VI. If due to non-intimation or late intimation by the respective institute, the fellowship is released to the candidate by PhD Cell, DIC then it will be the responsibility of the institute to recover that amount paid to the candidate in excess & beyond eligibility. PhD Cell, DIC in its sole discretion may decide to recover it from the amount due to be paid to the institute under other budget heads of the scheme.</p>
2	Reimbursement of Rent (as per GoI norms)	<p>I. During verification of candidate's registration by the concerned department & nodal officer of the institute along with their recommendation for Reimbursement of Rent release to PhD Candidates, the institute will recommend the release of amount towards "Reimbursement of Rent" also for the candidate. The institute would collect the supporting from the candidate & follow all guidelines of the scheme and that of "Government of India" for such claims. PhD Cell, DIC will keep paying the "Reimbursement of Rent" along with fellowship to the eligible candidates every month.</p> <p>II. In the event of any change e.g. change in location by the Candidate leading to change in monthly rent amount or in-eligibility, the institution shall intimate PhD Cell, DIC</p>

		<p>regarding the same through email. The institution needs to secure receipts of such intimation by PhD Cell, DIC well in advance as the Reimbursement of Rent would be released by DIC, as soon as possible once Reimbursement of Rent becomes due. In absence of such intimation, PhD Cell, DIC will continue to transfer the “Reimbursement of Rent” directly to the candidates every month of a financial year.</p> <p>III. If due to non-intimation or late intimation by the respective institute, the “Reimbursement of Rent” is released to the candidate by PhD Cell, DIC then it will be the responsibility of the institute to recover that amount paid to the candidate in excess & beyond eligibility. PhD Cell, DIC in its sole discretion may decide to recover it from the amount due to be paid to the institute under other budget heads of the scheme.</p>
3	<p>Research Contingency grant @ Rs. 1.20 Lakh/Year/Full Time PhD</p>	<p>I. The institution would submit a proposal based on the eligibility of the full time PhD candidate(s) in prescribed format. The format would include the details of equipment required by them, payment terms, account details of the institute etc.</p> <p>II. The proposal should clearly specify the utility & role of each of the proposed equipment in research of respective PhD candidate(s).</p> <p>III. The Research Contingency Grant support would be available to the institute only after completion of tenure of one year for a particular candidate and like-wise on pro-rata basis.</p> <p>IV. The proposal would then be examined by PhD Cell, DIC with respect to the guidelines of the scheme & then the institute to procure the equipment as mentioned in the proposal with certain changes if applicable.</p> <p>V. The institution following the same would procure the equipment and would raise the payment request as per payment terms to PhD Cell, DIC.</p>
4	<p>One Time International Conf. Support @ Rs. 1.5 Lakhs/Full Time PhD</p>	<p>I. The support would be provided once in a support duration of PhD candidate under the scheme.</p> <p>II. The support cover the travel and other expenses of PhD candidates for attending International conferences, where his/her research paper has been accepted for the presentation by him/her</p> <p>III. Paper presentation should be oral (not poster) in an International conference falling in approved list under Visvesvaraya PhD Scheme (subject to revision/review by Academic Committee periodically). The approved list is uploaded on PhD Scheme portal: ListOfInternationalConferences.pdf.</p> <p>IV. The institution would submit the request based on the eligibility of the Full Time PhD candidate in the prescribed format along with invitation letter of the conference and other supporting documents to the PhD Cell.</p> <p>V. The grant will be provided to the institution (not to the applicant) for checks & balances and submission of UC</p>

5	Institutional Overhead @ Rs. 25,000/Year/Full Time PhD	<p>I. Institutional overhead would be transferred to the institutions based on number of Full Time candidates enrolled under Visvesvaraya PhD Scheme and eligible for that year and like-wise on pro-rata basis.</p> <p>II. This amount after calculation at PhD Cell would be processed for release by PhD Cell for release to the respective institutions.</p>
6	One time support for 50 Candidates /yr from 3rd year for Visit to Labs	<p>I. It is not provisioned for all PhD candidates. Only the selected candidates fulfilling eligibility criteria based on the guidelines. A separate Implementation order governing these components would be issued by PhD Cell, DIC.</p> <p>II. The candidate should complete the visit at least 3 months before either the completion of PhD or the Fellowship support period of 5 years.</p> <p>III. The application with invitation letter is to be submitted to the PhD Cell through the institution.</p> <p>IV. The funds including Fellowship of the selected Full Time candidates for visit to labs abroad will be provided to the institution.</p> <p>V. Upon completion of the visit and returning to the institution, the candidate must submit a detailed technical report about the work done /accomplishment along with publications resulting from the visit, if any, to the PhD Cell. The report should be signed by the guide and endorsed by the head of the institution.</p> <p>VI. PhD Cell, DIC; MeitY reserves the right to hold/ stop/ terminate the support for this component at any stage, including but not limited to:</p> <p>(a) Appropriate progress is not being made.</p> <p>(b) The grant is not being utilized properly.</p> <p>(c) Violation/ Non-compliance of guidelines.</p> <p>(d) Any other reason, which is deemed appropriate by PhD Cell, DIC, MeitY</p>